401K

Interface Requirements Specification

# Valmark Financial Group

# Contact Information

## Customer Contact

|  |  |  |
| --- | --- | --- |
| **Name** | **Tel** | **Email** |
| Nathan Flaker | 330 576 1234 | NFlaker@valmarkfg.com |

## Integration Contact

|  |  |  |
| --- | --- | --- |
| **Name** | **Tel** | **Email** |
| Lea King | 515-480-4262 | Lea\_king@ultimatesoftware.com |

# Customer Confirmation

401k

1. Vendor Name:   
   Charles Schwab
2. Confirm Group or Plan Number:

VFG

1. Will you have employees that are active in multiple component companies?

No  Yes

1. Are there any Employee Types, Pay Groups, Org Levels, etc. that need to be excluded?

No  Yes

If Yes, please list field and values to exclude or include *(whichever is a shorter list)*:

Groups to exclude

1. **What kind of 401k File would you like Ultimate Software to Create?**

|  |  |  |
| --- | --- | --- |
| **Type** | **Employees to Include** | **Notes** |
| **Eligibility and Contribution** | All Employees with YTD Earnings | Click here to enter text. |
| *This file will include All Employees whether they contribute or not.* | | |
|  | | |

1. **What contribution types should be included on the file?  
   Please include the applicable UltiPro Deduction Codes for each that apply:**

|  |  |
| --- | --- |
| **Type** | **UltiPro Deduction Code** |
| 401k Flat or Percentage Contribution | 401BF, 401BP, 401F, 401P |
| Catch-Up Flat or Percentage Contribution | 401CF, 401CP |
| Employer Match | MATCH |
| Roth | ROTHF, ROTHP, RTHBF, RTHBP |
| Roth Catch-Up | RTHCF, RTHCP |
| Loans | 401L, 401L2 |

1. **Post Live Only: Interface Decommissioning (are there current/other interfaces that this interface is replacing?)**

No  Yes*, Customer must open a Support Ticket to request that current interface is turned off.*

# Vendor Confirmation

401k

1. **Please confirm the following information regarding the specifications provided is accurate:**

|  |  |  |
| --- | --- | --- |
| **Type** | **Specification Name** | **Ultimate Software Project Number** |
| Eligibility/Contribution | VFG\_Schwab\_payroll\_spec\_with\_Ulti\_notes | VAL1007-2019-00242053-Interface File - Charles Schwab 401k |

1. Confirm how you would like to send termination of coverage on this file:

Terminations sent one time only - based on the actual (audit) date entered into UltiPro.

Terminations sent one time only - based on the actual (audit) date entered into UltiPro, with no future dated terminations.

Employment term dates in the current year should be left on the file all year to report the comp amounts.

1. Do you require a minimum coverage start date on the file (Ex. We cannot send any effective dates older than 1/1/2018 on the file)? If so, what is that date?

N/A

# Notes to Developer